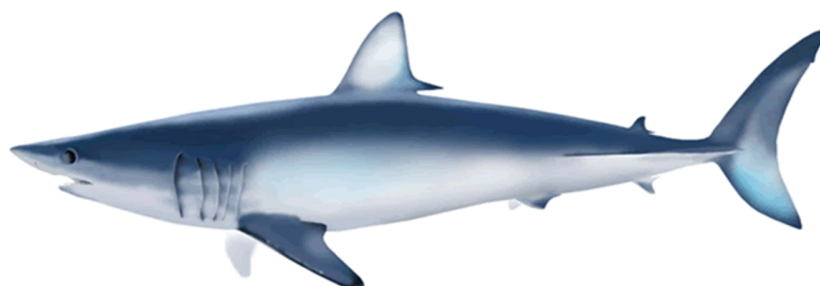


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Stock-recruitment relationships of shortfin mako, *Isurus oxyrinchus*, in the North Pacific¹

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1 **Abstract**

2 This working paper provides estimates of steepness, which represents a fraction of the unfished
3 recruitment when spawning stock biomass is 20% of the unfished spawning stock biomass, for
4 North Pacific shortfin mako (*Isurus oxyrinchus*). I applied an existing age-structured model for the
5 reproductive ecology of elasmobranchs. I conducted numerical simulations to incorporate
6 uncertainties in the natural mortality and produced the variance of steepness. I also examined the
7 impacts of different maximum ages and reproductive cycles on the estimates. The mean values
8 and those standard deviation for steepness with the Beverton-Holt model and default maximum
9 age 31 years were 0.316 (standard deviation = 0.054) and 0.252 (standard deviation = 0.039) for
10 the reproductive cycle of 2 years and 3 years, respectively. The results suggested that the stock-
11 recruitment relationship in North Pacific shortfin mako remains little density-dependent and that
12 its productivity is lower than that of other elasmobranchs.

14 **Introduction**

15 Stock assessment of shortfin mako (*Isurus oxyrinchus*) in the North Pacific is scheduled to conduct
16 in spring 2018 using a stock synthesis (SS) (Method and Wetzel, 2013). However, it is unknown
17 about the stock-recruitment relationships of the North Pacific shortfin mako. Therefore, it is urgent
18 to provide the stock-recruitment relationships of this species. The main objective of this working
19 paper is to estimate the steepness (Mace and Doonan, 1988), which represents a fraction of the
20 unfished recruitment when spawning stock biomass is 20% of the unfished spawning stock
21 biomass, for North Pacific shortfin mako. I modified an existing age-structured model for a
22 compensatory stock recruitment relationship (Beverton-Holt model) of elasmobranchs such as
23 blue sharks (Kai and Fujinami, 2018) and applied the model to the North Pacific shortfin mako.

25 **Materials and Methods**

26 I applied an existing age-structured model for the reproductive ecology of elasmobranchs
27 developed by Kai and Fujinami (2018) to the North Pacific shortfin mako. Kai and Fujinami (2018)
28 developed a model that accounts for the pre-recruit survival of requiem sharks such as a blue shark,
29 while accounting for differences in the survival rate at four life history stages before recruitment,
30 i.e. fertilized eggs (stage 0), embryos inside the body of female fish (stage 1), neonates
31 immediately after pupping (stage 2), and pre-recruit juveniles (stage 3). A product of the survival
32 rates at each stage has been used:

$$33 \quad S_{pre} = S_0 S_1 S_2 S_3 \quad , \quad (1)$$

34 where S_{pre} is a pre-recruit survival rate and $S_0 S_1 S_2 S_3$ is a product of the survival rate at each
35 stage from 0 to 3. Unlike the requiem sharks, shortfin mako is lamniform sharks of families that

36 exhibit different reproductive strategy and the embryos feed on the unfertilized yolked ova
37 (oophagy) that the pregnant female continues to produce during gestation (Snelson et al., 2008). It
38 makes the modeling of survival rates for embryos inside the body of female fish difficult (stage 1).
39 In addition, there is no information about the survival rates of fertilized eggs (stage 0) and neonates
40 immediately after pupping (stage 2). Therefore, the survival rates of stages 0-2 were not modeled
41 explicitly and I considered the survival rates of juveniles at stage 3. I assumed that the observed
42 litter size of neonates is the same as the number of neonates immediately after pupping.

43 It is supposed that the natural mortality is a constant for different size and sex because the birth
44 size for male and female is almost similar (i.e. 60 cm PCL) and the size is enough for maintaining
45 high survival rate thereafter. In addition, it was difficult to apply Method II (Walter et al., 2016)
46 used in Kai and Fujinami (2018) to this species due to a high natural mortality rate at age 0 (0.369
47 year⁻¹) based on the Target-M = 0.127 from Hoenig's equation with maximum age is 31 that causes
48 a steep depletion of the neonates and makes the population of low fecundity difficult to maintain.

49
50 The parameters of the stock-recruitment model for shortfin mako in the North Pacific were
51 estimated from biological data collected across the North Pacific (e.g., Semba et al., 2009, 2010;
52 Joung and Hsu, 2005; Chang and Liu, 2009). The value of $S_{pre} = S_3$ and the natural mortality
53 after recruitment for females was estimated from an empirical equation for cetaceans (Hoenig
54 1983):

$$55 \quad M = \exp(0.941)a_{max}^{-0.873} \quad (1)$$

56 The reasons why we used the Hoenig's equation is that this equation is commonly used for the
57 study of pelagic sharks due to not only the rationale of the use of maximum ages but also an
58 application to cetaceans (Hoenig, 1983). The value of sex ratio (r) was assumed to be 1-1 (Semba
59 et al., 2011). The average period from parturition to recruitment was estimated from the growth
60 curves given birth size and the mean size at recruitment (Kai et al., 2015). The value of body
61 weight (W) for females was estimated using the equations of length at ages (Takahashi et al., 2017)
62 and body weight at length (Su et al., 2017). The value of the maturity ogive for females ($p_{f,m}$) was
63 estimated using the equation of the maturity rate at length (Semba and Liu, 2017). The value of
64 reproductive year (y) was determined from the studies on the reproductive cycle (Semba et al.,
65 2011; Joung and Hsu, 2005). The value of litter size (ψ) was fixed to 12 (Semba et al. 2011; Joung
66 and Hsu, 2005). A value of 31 was used as the longevity of the shortfin mako (Ardizzone et al.,
67 2006). The default values of all parameters are shown in Table 1.

68
69 I conducted the same numerical simulations as used for the North Pacific blue shark (kai and
70 Fujinami, 2018) to incorporate uncertainties in the natural mortality and produced the variance of

71 steepness. I also examined the impacts of different maximum ages (i.e. 28 and 34) and reproductive
72 cycles (2 years and 3 years) on the estimates.

73
74 The computation of the numerical simulation was implemented using a code based on the R
75 package (R Development Core Team, 2016).

76
77 **Results and Discussion**

78 The mean values and those standard deviation for steepness with the Beverton-Holt model and
79 default maximum age 31 years were 0.316 (standard deviation = 0.054) and 0.252 (standard
80 deviation = 0.039) for the reproductive cycle of 2 years and 3 years, respectively (Table 2 and
81 Figure 1). The sensitivity analysis of steepness to the different maximum ages showed that the
82 impacts of the maximum ages were very small on the estimates of steepness (Table 2). The results
83 suggested that the stock-recruitment relationship in North Pacific shortfin mako remains little
84 density-dependent and that its productivity is quite lower than that of other viviparous
85 elasmobranchs.

86
87 Steepness of shortfin mako in the North and South Atlantic were computed based on the biological
88 information using a dual life table/Leslie matrix approach (Cortés, 2017). The estimated steepness
89 ranged from 0.34 to 0.52 for the North Atlantic and from 0.44 to 0.72 for the South Atlantic. These
90 higher values in the Atlantic were largely different from the lower estimates of steepness in the
91 North Pacific because the natural mortality schedules of Atlantic shortfin mako were quite lower
92 (constant values of 0.081 for immature female and lower than the value for the mature female)
93 than that (0.127) of North Pacific shortfin mako in addition to the different biological parameters
94 such as growth curves for North Atlantic shortfin mako (e.g. $L_{\infty}=350.6$ and $k=0.064$). It would be
95 required to reduce the uncertainties in the biological parameters to improve the accuracy of the
96 estimates of steepness in future work.

97
98 The parameters (z and β) of low fecundity stock recruitment relationships (LFSR; Taylor et
99 al., 2013) were not estimated in this study because it does not make sense without estimating the
100 unfished biomass and recruitment in SS and without fixing the shape of the stock recruitment
101 relationships. The shape of the stock recruitment relationships could be selected from the
102 likelihood in the process of the fitting to the SS model.

103
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154

155 Table 1. Default parameters on biological data of female shortfin mako and other sources. The
 156 symbol follows the Kai and Fujinami (2018).

No.	Function name	Parameter name	Symbol	Unit	Value	Reference	
1	von-Bertalanffy growth curve	Asymptotic size	L_{∞}	cm in PCI	293.1	Takahashi (2017)	
		Growth rate	k	year ⁻¹	0.128		
		Length at age0	L_0	year	60		
2	Weight-length relationship		c_1		3.4	Su et al. (2017)	
			c_2		2.84		
3	Length-based maturity ogives		c_3		34.23	Semba et al. (2017)	
			c_4		-0.146		
4	Littersize				12	Semba and Liu (2017)	
5	Natural mortality	Theoretical equation	Natural mortality at ages	M	year ⁻¹	0.128	Kai and Yokoi (2017)
		Gamma distribution		v		9.7	Mangel et al. (2010)
					71.9		
6		Maximum age	a_{\max}	year	31	Ardizzone et al. (2006)	
7		Sex ratio	r		0.5	Semba (2011)	
8	Pre-recruit survival	Survival at stage 0-2	S_{0-2}	year ⁻¹	1		
		Theoretical equation	Survival at stage 3	S_3	year ⁻¹	0.880	Kai and Yokoi (2017)
9	Reproductive cycle	No function	Reproductive period	y		2 and 3	Semba et al. (2011); Joung and Hsu (2005)
			Days to recruit from partrition	d	day ⁻¹	180	Kai et al. (2015)

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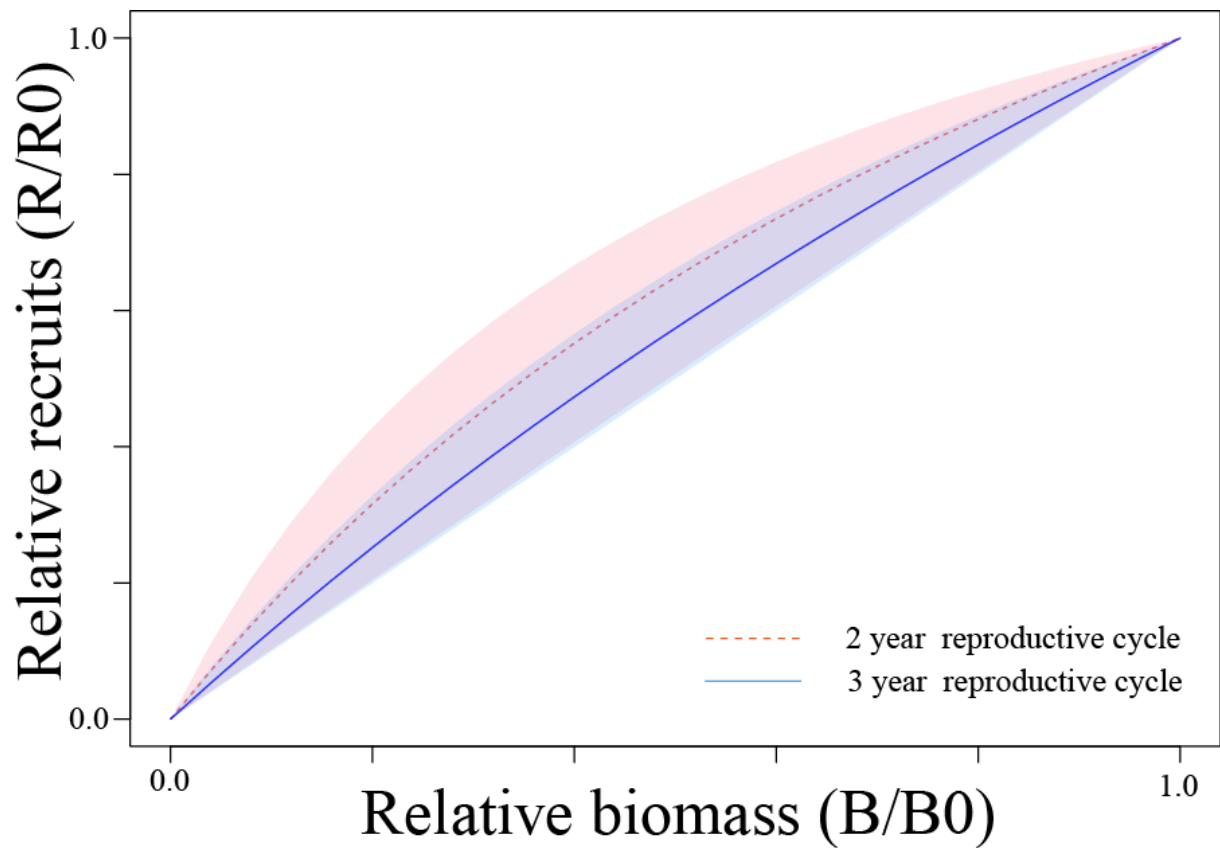
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159 Table 2. Estimates of steepness parameters for different reproductive cycles: 2 and 3 years and
 160 maximum ages: 28, 31, and 34 years.

SR-models	Parameter	2 year reproductive cycle			3 year reproductive cycle		
		Maxage-28	Maxage-31	Maxage-34	Maxage-28	Maxage-31	Maxage-34
Beverton-Holt	Mean value of steepness	0.307	0.316	0.323	0.246	0.252	0.256
	SD of steepness	0.055	0.057	0.058	0.036	0.039	0.041

161

162



163

164 Figure 1. Estimates of the North Pacific shortfin mako stock-recruitment relationship for Beverton-
 165 Holt model for different reproductive cycles: 2 and 3 years. Shaded blue and red areas denote 95%
 166 confidence intervals. Relative recruits indicate recruitment (R)/unfished recruitment (R0), and
 167 relative biomass indicates biomass (B)/unfished biomass (B0).

168

169